

# Capturing Social Determinants of Health Data in Electronic Health Records

INLS 770  
Spring 2018  
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# Overview

Interest based on:

- Combination of clinical and public health
- Includes themes from 2018 Spring semester: community health, health disparities, collecting data to improve health care, patient portals

Will cover:

- Meaning of social determinants of health (SDOHs)
- Benefits and risks
- Data domains collected
- Implementation pathways

Social  
determinants of  
health are  
environmental  
conditions that  
affect health.

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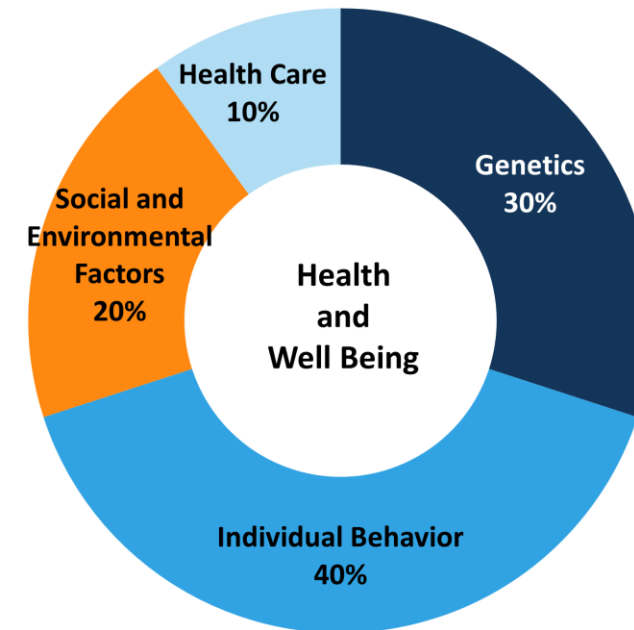


(Healthypeople.gov)

There is growing research suggesting SDOHs affect disease progression and mortality as much or more than traditional health care.

Figure 1

## Impact of Different Factors on Risk of Premature Death

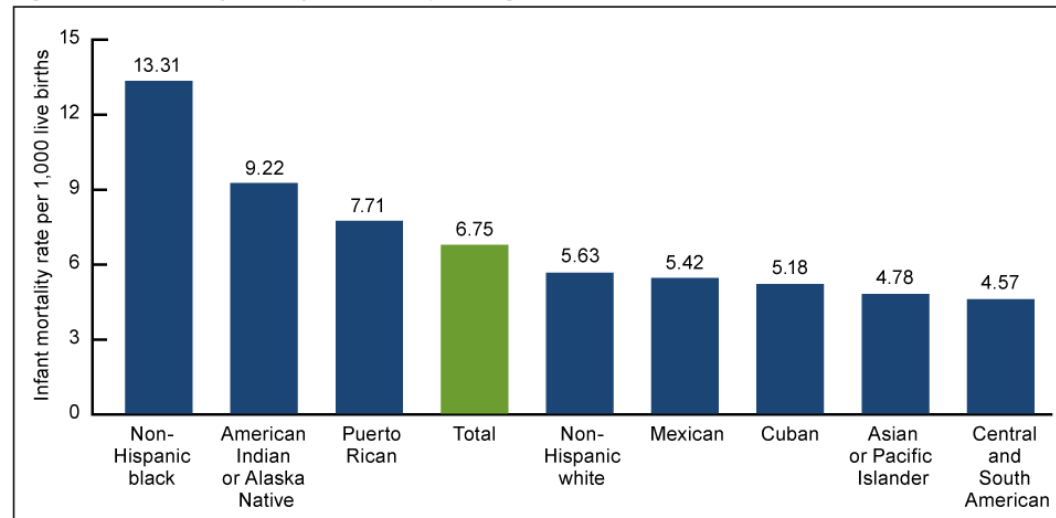


SOURCE: Schroeder, SA. (2007). We Can Do Better — Improving the Health of the American People. *NEJM*. 357:1221-8.

# SDOHs contribute to U.S. health disparities.

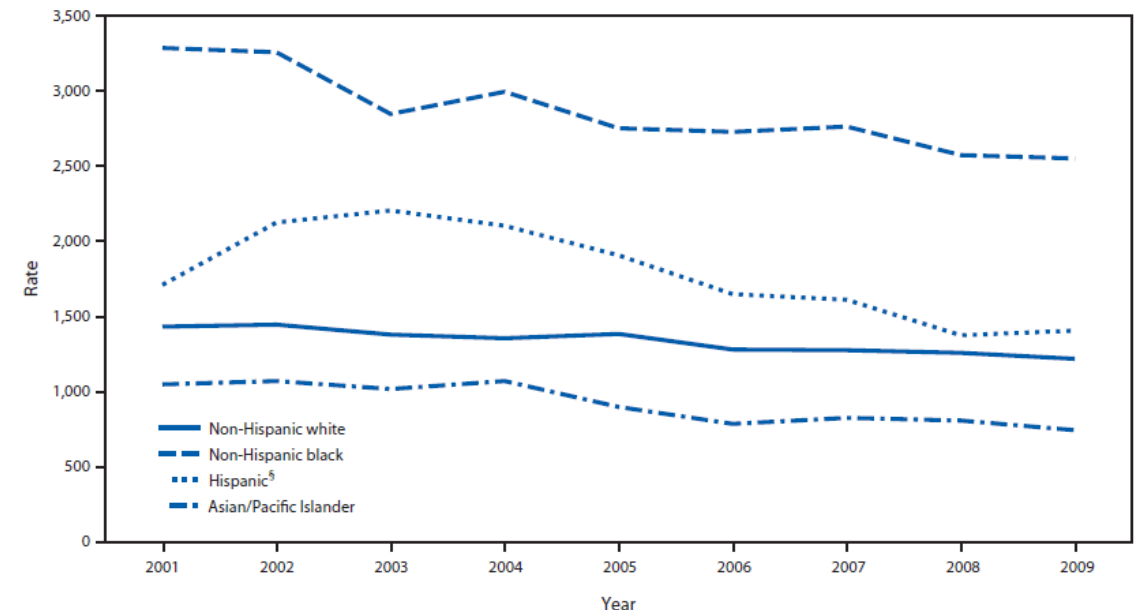
**Infant mortality rate:** 2X higher for non-Hispanic black women than for non-Hispanic white women in 2005 and 2008.

Figure 1. Infant mortality rates, by race and Hispanic origin of mother: United States, 2007



SOURCE: CDC/NCHS, linked birth/infant death data set, 2007.

**Preventable hospitalization rates:** higher for non-Hispanic blacks and Hispanics compared with non-Hispanic whites during 2001-2009.



(CDC Health Disparities and Inequalities Report—U.S. 2013 and CDC.gov)

# Government funding to capture SDOHs is available.

- In 2016, the Innovation Center at the Centers for Medicare and Medicaid Services (CMS) announced the creation of the Accountable Health Communities Model, which focuses on the social determinants of health.
- It offers \$157 million for organizations to test whether screening beneficiaries for SDOHs and offering appropriate referrals will improve quality and affordability in Medicare and Medicaid



There are  
many possible  
benefits.

- Identify risk factors for diseases
- Could reduce known diagnosis biases
- Improve shared decision making
- Better referrals, and cross-physician knowledge
- More patient information could help health systems tailor to their population
- Improve population data research

But there are  
also risks.

- **Lack of resources to help with identified issues**
- Could introduce biases
- Use of information by insurers to screen out high risk patients
- Adding more sensitive data increases risk with PHI
- Ethical issues



In 2014 the Institute of Medicine(IOM) recommended specific SDOH data be documented in electronic health records.

Based on:

- Evidence of their health impacts
- Potential clinical usefulness
- Actionability
- Availability of valid measures

| Routinely captured in EHRs  | Not routinely captured in EHRs  |
|---|---|
| <ul style="list-style-type: none"><li>• Race/ethnicity</li><li>• Depression</li><li>• Nicotine use/exposure</li><li>• Alcohol use</li></ul> | <ul style="list-style-type: none"><li>• Education</li><li>• Financial strain</li><li>• Stress</li><li>• Physical activity</li><li>• Social connections/social isolation</li><li>• Exposure to violence</li><li>• Neighborhood characteristics</li></ul> |

(Gold et al, 2017)

Seven  
implementations in  
Primary Care were  
studied and they  
each included  
different data.

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**Table 3.** IOM-Recommended Patient-Reported SDH Domains

| Domain                          | IOM | HealthBegins | UNM—<br>WellRx | Mosaic | Kaiser | NACHC—<br>PRAPARE | OCHIN          |
|---------------------------------|-----|--------------|----------------|--------|--------|-------------------|----------------|
| Alcohol use                     | X   |              | X              |        |        |                   | X <sup>a</sup> |
| Depression                      | X   |              |                |        |        |                   | X <sup>a</sup> |
| Education                       | X   | X            | X              |        |        | X                 | X              |
| Financial resource strain       | X   | X            |                | X      | X      | X                 | X              |
| Intimate partner violence       | X   | X            | X              |        |        | X                 | X              |
| Physical activity               | X   | X            |                |        |        |                   | X              |
| Race or ethnic group            | X   |              |                |        |        | X                 | X <sup>a</sup> |
| Residential address             | X   |              |                |        |        | X                 | X              |
| Social connection and isolation | X   | X            |                | X      | X      | X                 | X              |
| Stress                          | X   | X            |                |        | X      | X                 | X              |
| Tobacco use                     | X   |              |                |        |        |                   | X <sup>a</sup> |

Abbreviations: IOM, Institute of Medicine; NACHC, National Association of Community Health Centers; PRAPARE, Protocol for Responding to and Assessing Patient Assets, Risks, and Experiences; SDH, social determinants of health; UNM, University of New Mexico.

<sup>a</sup>Already collected in standard workflows.

(LaForge et al, 2018)

Other data  
domains  
varied.

**Table 4.** SDH Domains Used by Participants for Standardized SDH Screening in Addition to Those Recommended by the IOM

| Domain  | IOM | HealthBegins | UNM—<br>WellRx | Mosaic | CMI—<br>Kaiser | NACHC—<br>PRAPARE | OCHIN |
|---|-----|--------------|----------------|--------|----------------|-------------------|-------|
| Activities of daily living                    |     |              |                | X      | X              |                   |       |
| Childcare                                     |     |              | X              |        | X              | X                 | X     |
| Civic engagement                              |     | X            |                |        |                |                   |       |
| Clothing                                      |     |              |                | X      |                | X                 | X     |
| Dental  |     |              |                | X      | X              |                   |       |
| Dietary pattern                               |     | X            |                |        |                |                   |       |
| Disability status                             |     |              |                | X      |                |                   |       |
| Drug use                                      |     |              | X              |        |                |                   |       |
| Employment                                    |     | X            | X              | X      | X              | X                 |       |
| Food insecurity                               |     | X            | X              | X      | X              | X                 | X     |
| Health literacy                               |     |              |                | X      |                |                   | X     |
| Hearing                                       |     |              |                | X      |                |                   |       |
| Housing                                       |     | X            | X              | X      | X              | X                 | X     |
| Incarceration history                         |     |              |                |        |                | X                 |       |
| Income  |     |              |                |        |                | X                 |       |
| Language preference                           |     |              |                |        |                | X                 |       |
| Legal/public benefit needs                    |     |              |                | X      | X              |                   |       |
| Literacy/learning style                       |     |              |                | X      |                |                   | X     |
| Marital status                                |     | X            |                |        |                |                   | X     |
| Medical needs<br>(including health insurance) |     |              |                | X      | X              | X                 | X     |
| Safety  |     | X            | X              |        | X              | X                 |       |
| Seasonal/farmworker status                    |     |              |                |        |                | X                 |       |
| Transportation                                |     | X            | X              | X      | X              | X                 | X     |
| Utilities                                     |     |              | X              | X      | X              | X                 | X     |
| Veteran status                                |     |              |                |        |                | X                 |       |
| Vision  |     |              |                | X      | X              |                   |       |

Abbreviations: CMI, Care Management Institute; IOM, Institute of Medicine; NACHC, National Association of Community Health Centers; PRAPARE, Protocol for Responding to and Assessing Patient Assets, Risks, and Experiences; SDH, social determinants of health; UNM, University of New Mexico.

(LaForge et al, 2018)

# Capturing these fields has been done in different ways.

| Methods   | Considerations  |
|---|---|
| <ul style="list-style-type: none"><li>• Static PDF</li><li>• Paper forms</li><li>• EHR flowsheets</li><li>• Patient portal surveys</li><li>• Use of tablets for patient entry</li></ul> | <ul style="list-style-type: none"><li>• Time required to enter data</li><li>• Double entry of information</li><li>• Workflow problems</li></ul> |

# Social Determinants of Health data collection in the future

- More implementations
- More research on data collection and effectiveness



Thank you! Questions?



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